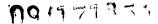
404 Rec'd PCT OTO U.S. DEPARTMENT PATENT AND TRADEMARK OFFICE 'S DOCKET NUMBER 3528.38.US00 TRANSMITTAL LETTER TO THE UNITED STATES U.S. APPLICATION NO. (If known, see 37 CFR 1.5 DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 INTERNATIONAL APPLICAT INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED April 24, 1996 PCT/DE97/00814 April 23, 1997 TITLE OF INVENTION IDENTIFICATION OF CHANGES IN CELL DNA APPLICANT(S) FOR DO/EO/US Stefan JOOS and Peter LICHTER Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). 4. 🗶 A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. (3 pages) 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is transmitted herewith (required only if not transmitted by the International Bureau). (15 pages - 2 shts Drwgs) has been transmitted by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). Ü 6. X A translation of the International Application into English (35 U.S.C. 371(c)(2)). (17 pgs; 2 pgs amended claims Amendments to the claims of the International Aplication under PCT Article 19 (35 U.S.C. 371(c)(3)) are transmitted herewith (required only if not transmitted by the International Bureau). Π have been transmitted by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. 8. A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). (2 pages) An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). A translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11. to 16. below concern document(s) or information included: 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A FIRST preliminary amendment. ☐ A SECOND or SUBSEQUENT preliminary amendment. 14. 🗶 A substitute specification, 2 shts drwgs; and transmittal letter (15 pages) 15. A change of power of attorney and/or address letter. 16. X Other items or information: copy of International Search Report (PCT/ISA/210 - 4 pages); and copy of first page to publication of the PCT application under No. WO 97/40185. International Preliminary Examination Report (6 pages)

U.S. APPLICATION NO. (if known, see	37 CFR 1.5)	ENATIONAL APPLICATION NO. DE97/00814		ATTORNEY'S DOCKET NUMBER 3528.38.US00	
17. The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):				CALCULATIONS PTO USE ONLY	
BASIC NATIONAL FE		ļ			
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1070.00					
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$930.00					
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO					
International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)					
International preliminary examination fee (37 CFR 1.482) paid to USPTO					
and all claims satisfied provisions of PCT Article 33(1)-(4)				s 930.00	
months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
02.12.1	IUMBER FILED	NUMBER EXTRA	x \$22.00	\$ 0.00	T
Total claims	8 - 20 =	0	x \$82.00	\$ 0.00	
Independent claims	$\frac{1}{1} - 3 = \frac{1}{1}$		+ \$270.00	\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$270.00 TOTAL OF ABOVE CALCULATIONS =				\$ 930.00	
Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement imust also be filed (Note 37 CFR 1.9, 1.27, 1.28).				\$	
SUBTOTAL =				\$ 930.00	
Processing fee of \$130.00 for furnishing the English translation later than 20 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
TOTAL NATIONAL FEE =				\$ 930.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$	
TOTAL FEES ENCLOSED =				\$ 930.00	
The second secon				Amount to be refunded:	\$
				charged:	\$
a. A check in the amount of \$ 930.00 to cover the above fees is enclosed. b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.					
The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>08–3038</u> . A duplicate copy of this sheet is enclosed.					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO: Albert P. Halluin					
Albert P. Halluin HOWREY & SIMON				TURE	
1233 Telmisy Ivania 1101100, 11011				t P. Halluin	
Box 34 NAME Washington, D.C. 20004					
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PATENT APPLICATION SERIAL NO.

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE FEE RECORD SHEET

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07/26/1999 WCLAYERO 00000014 083038 09171854 01 FC:968 270.00 CH

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